

**UNITED STATES DISTRICT COURT**  
 for the  
 Southern District of New York

|                                            |   |
|--------------------------------------------|---|
| Northwest Biotherapeutics, Inc.            | ) |
|                                            | ) |
|                                            | ) |
|                                            | ) |
| <i>Plaintiff(s)</i>                        | ) |
| v.                                         | ) |
| CANACCORD GENUITY LLC, CITADEL SECURITIES  | ) |
| LLC, G1 EXECUTION SERVICES LLC, GTS        | ) |
| SECURITIES LLC, INSTINET LLC, LIME TRADING | ) |
| CORP., SUSQUEHANNA INTERNATIONAL GROUP     | ) |
| LLP, and VIRTU AMERICAS LLC.               | ) |
| <i>Defendant(s)</i>                        | ) |

Civil Action No. 22-cv-10185

**SUMMONS IN A CIVIL ACTION**

To: *(Defendant's name and address)* G1 Execution Services LLC  
 175 W. Jackson Blvd.  
 Suite 1700  
 Chicago, IL 60604

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are: Laura H. Posner

Cohen Milstein Sellers & Toll PLLC  
 88 Pine St, 14th Floor  
 New York, New York 10005

If you fail to respond, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.

*CLERK OF COURT*

Date: 12/02/2022

/S/ V. BRAHIMI

*Signature of Clerk or Deputy Clerk*

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Civil Action No. \_\_\_\_\_

**PROOF OF SERVICE**

*(This section should not be filed with the court unless required by Fed. R. Civ. P. 4 (l))*

This summons for *(name of individual and title, if any)* \_\_\_\_\_  
was received by me on *(date)* \_\_\_\_\_.

I personally served the summons on the individual at *(place)* \_\_\_\_\_  
on *(date)* \_\_\_\_\_; or

I left the summons at the individual's residence or usual place of abode with *(name)* \_\_\_\_\_  
, a person of suitable age and discretion who resides there,  
on *(date)* \_\_\_\_\_, and mailed a copy to the individual's last known address; or

I served the summons on *(name of individual)* \_\_\_\_\_, who is  
designated by law to accept service of process on behalf of *(name of organization)* \_\_\_\_\_  
on *(date)* \_\_\_\_\_; or

I returned the summons unexecuted because \_\_\_\_\_; or

Other *(specify)*: \_\_\_\_\_

My fees are \$ \_\_\_\_\_ for travel and \$ \_\_\_\_\_ for services, for a total of \$ 0.00 \_\_\_\_\_.

I declare under penalty of perjury that this information is true.

Date: \_\_\_\_\_

*Server's signature*

\_\_\_\_\_ *Printed name and title*

\_\_\_\_\_ *Server's address*

Additional information regarding attempted service, etc: